

08-08-02

DT06 Rec'd PCT/PTO

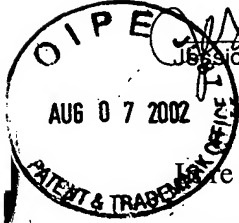
07 AUG 2002

Express Mail mailing label no. EV 189456012 US

Date of Deposit: August 7, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

#5



Jessica Pallach

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of

Neubauer et al.

Serial No. 10/089,950

Filed: April 3, 2002

For: Method and Apparatus for Introducing  
Information into a Data Stream and Method and  
Apparatus for Encoding an Audio Signal

Examiner: Unassigned

Art Unit: Unassigned

August 7, 2002

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner of Patents  
and Trademarks  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application--Filing Date Granted mailed June 19, 2002, enclosed herewith are the following:

- Original Declaration signed by inventor (5 pages);
- Assignment (3 pages) and recordation cover sheet (3 pages);
- Translation of the International Preliminary Examination Report;
- Translation of the PCT application;
- Information Disclosure Statement, 1449 Form, and cited References;
- Copy of Missing Parts Notice; and
- Return Postcard

The Commissioner is authorized to charge the surcharge and recordation fees of \$210.00 and any additional fees that may be due and credit any overpayments to Deposit Account No. 07-1445 (Order No. SCHO0068). A copy of this sheet is enclosed for accounting purposes.

Respectfully submitted,

08/13/2002 ATRAN1 00000117 071445 10089950

Michael A. Glenn  
Reg. No. 30,176

Customer No. 22862

10/11/2002 VWALLACE 00000003 071445 10089950

Attorney Docket No. SCHO0068

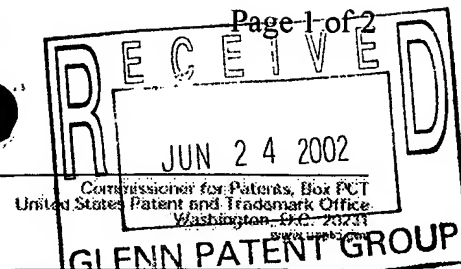
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130.00 CH

08/13/2002 ATRAN1 00000117 071445 10089950  
01 FC:152 210.00 CH



UNITED STATES PATENT AND TRADEMARK OFFICE



U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/089,950	Christian Neubauer	SCHO0068

Glenn Patent Group  
3475 Edison Way  
Suite L  
Menlo Park, CA 94025

INTERNATIONAL APPLICATION NO.	
PCT/EP00/09771	
I.A. FILING DATE	PRIORITY DATE
10/05/2000	10/05/1999

CONFIRMATION NO. 6932  
371 FORMALITIES LETTER



\*OC00000008293251\*

Date Mailed: 06/19/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

VONDA M WALLACE

Telephone: (703) 305-3736

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/089,950	PCT/EP00/09771	SCHO0068